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E-Filed: August 4, 2015

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
San Jose Division

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21 | 1. PURPOSES AND LIMITATIONS

22 Disclosure and discovery activity in this action are likely to involve production of
23 confidential, proprietary, security or private information for which special protection from public
24 disclosure and from use for any purpose other than prosecuting this litigation may be warranted.
25 Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated
26 Protective Order. The parties acknowledge that this Order does not confer blanket protections on
27 all disclosures or responses to discovery and that the protection it affords from public disclosure
28 and use extends only to the limited information or items that are entitled to confidential treatment

1 under the applicable legal principles. The parties further acknowledge, as set forth in Section 12.3,
 2 below, that this Stipulated Protective Order does not entitle them to file confidential information
 3 under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and the standards
 4 that will be applied when a party seeks permission from the court to file material under seal.

5 **2. DEFINITIONS**

6 2.1 **Challenging Party:** a Party or Non-Party that challenges the designation of
 7 information or items under this Order.

8 2.2 **“CONFIDENTIAL” Information or Items:** information (regardless of how it is
 9 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of
 10 Civil Procedure 26(c).

11 2.3 **Counsel (without qualifier):** Outside Counsel of Record and House Counsel (as
 12 well as their support staff).

13 2.4 **Designating Party:** a Party or Non-Party that designates information or items that it
 14 produces in disclosures or in responses to discovery as “CONFIDENTIAL.”

15 2.5 **Disclosure or Discovery Material:** all items or information, regardless of the
 16 medium or manner in which it is generated, stored, or maintained (including, among other things,
 17 testimony, transcripts, and tangible things), that are produced or generated in disclosures or
 18 responses to discovery in this matter.

19 2.6 **Expert:** a person with specialized knowledge or experience in a matter pertinent to
 20 the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a
 21 consultant in this action.

22 2.7 **House Counsel:** attorneys who are employees of a party to this action. House
 23 Counsel does not include Outside Counsel of Record or any other outside counsel.

24 2.8 **Non-Party:** any natural person, partnership, corporation, association, or other legal
 25 entity not named as a Party to this action.

26 2.9 **Outside Counsel of Record:** attorneys who are not employees of a party to this
 27 action but are retained to represent or advise a party to this action and have appeared in this action
 28 on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

1 2.10 Party: any party to this action, including all of its officers, directors, employees,
 2 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

3 2.11 Producing Party: a Party or Non-Party that produces Disclosure or Discovery
 4 Material in this action.

5 2.12 Professional Vendors: persons or entities that provide litigation support services
 6 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing,
 7 storing, or retrieving data in any form or medium) and their employees and subcontractors.

8 2.13 Protected Material: any Disclosure or Discovery Material that is designated as
 9 "CONFIDENTIAL."

10 2.14 Receiving Party: a Party that receives Disclosure or Discovery Material from a
 11 Producing Party.

12 3. SCOPE

13 The protections conferred by this Stipulation and Order cover not only Protected Material
 14 (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all
 15 copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,
 16 conversations, or presentations by Parties or their Counsel that might reveal Protected Material.
 17 However, the protections conferred by this Stipulation and Order do not cover the following
 18 information: (a) any information that is in the public domain at the time of disclosure to a
 19 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a
 20 result of publication not involving a violation of this Order, including becoming part of the public
 21 record through trial or otherwise; and (b) any information known to the Receiving Party prior to the
 22 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the
 23 information lawfully and under no obligation of confidentiality to the Designating Party. Any use
 24 of Protected Material at trial shall be governed by a separate agreement or order.

25 4. DURATION

26 Even after final disposition of this litigation, the confidentiality obligations imposed by this
 27 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order
 28 otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and

1 defenses in this action, with or without prejudice; and (2) final judgment herein after the
 2 completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,
 3 including the time limits for filing any motions or applications for extension of time pursuant to
 4 applicable law. For a period of six months after final disposition of this litigation, this Court will retain jurisdiction to enforce the terms of the order.

5. DESIGNATING PROTECTED MATERIAL

6. 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or
 7 Non-Party that designates information or items for protection under this Order must take care to
 8 limit any such designation to specific material that qualifies under the appropriate standards. The
 9 Designating Party must designate for protection only those parts of material, documents, items, or
 10 oral or written communications that qualify – so that other portions of the material, documents,
 11 items, or communications for which protection is not warranted are not swept unjustifiably within
 12 the ambit of this Order.

13 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown
 14 to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily
 15 encumber or retard the case development process or to impose unnecessary expenses and burdens
 16 on other parties) expose the Designating Party to sanctions.

17 If it comes to a Designating Party's attention that information or items that it designated for
 18 protection do not qualify for protection, that Designating Party must promptly notify all other
 19 Parties that it is withdrawing the mistaken designation.

20 5.2 Manner and Timing of Designations. Except as otherwise provided in this Order
 21 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,
 22 Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so
 23 designated before the material is disclosed or produced.

24 Designation in conformity with this Order requires:

25 (a) for information in documentary form (e.g., paper or electronic documents, but
 26 excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party
 27 affix the legend “CONFIDENTIAL” to each page that contains protected material. If only a portion
 28 or portions of the material on a page qualifies for protection, the Producing Party also must clearly

1 identify the protected portion(s) (e.g., by making appropriate markings in the margins).
2 A Party or Non-Party that makes original documents or materials available for inspection need not
3 designate them for protection until after the inspecting Party has indicated which material it would
4 like copied and produced. During the inspection and before the designation, all of the material
5 made available for inspection shall be deemed “CONFIDENTIAL.” After the inspecting Party has
6 identified the documents it wants copied and produced, the Producing Party must determine which
7 documents, or portions thereof, qualify for protection under this Order. Then, before producing the
8 specified documents, the Producing Party must affix the “CONFIDENTIAL” legend to each page
9 that contains Protected Material. If only a portion or portions of the material on a page qualifies for
10 protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making
11 appropriate markings in the margins).

20 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to
21 designate qualified information or items does not, standing alone, waive the Designating Party's
22 right to secure protection under this Order for such material. Upon timely correction of a
23 designation, the Receiving Party must make reasonable efforts to assure that the material is treated
24 in accordance with the provisions of this Order.

25 | 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

26 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of
27 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality
28 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic

1 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to
 2 challenge a confidentiality designation by electing not to mount a challenge promptly after the
 3 original designation is disclosed.

4 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process
 5 by providing written notice of each designation it is challenging and describing the basis for each
 6 challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must
 7 recite that the challenge to confidentiality is being made in accordance with this specific paragraph
 8 of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must
 9 begin the process by conferring directly (in voice to voice dialogue; other forms of communication
 10 are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging
 11 Party must explain the basis for its belief that the confidentiality designation was not proper and
 12 must give the Designating Party an opportunity to review the designated material, to reconsider the
 13 circumstances, and, if no change in designation is offered, to explain the basis for the chosen
 14 designation. A Challenging Party may proceed to the next stage of the challenge process only if it
 15 has engaged in this meet and confer process first or establishes that the Designating Party is
 16 unwilling to participate in the meet and confer process in a timely manner.

17 6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court
 18 ~~parties shall comply with the undersigned's Standing Order Re: Civil Discovery Disputes.~~
 intervention, the ~~Designating Party shall file and serve a motion to retain confidentiality under~~
 19 ~~Any Discovery Dispute Joint Report shall affirm that~~
~~Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of~~
 20 ~~the initial notice of challenge or within 14 days of the parties agreeing that the meet and confer~~
~~process will not resolve their dispute, whichever is earlier. Each such motion must be accompanied~~
 21 ~~by a competent declaration affirming that the movant has complied with the meet and confer~~
~~have been satisfied.~~
 22 requirements imposed in the preceding paragraph^A. Failure by the Designating Party to ~~make such a~~
~~timely seek judicial intervention~~
 23 motion including the required declaration within 21 days (or 14 days, if applicable) shall
 24 automatically waive the confidentiality designation for each challenged designation. In addition,
~~seek relief with respect to~~
 25 the Challenging Party may ~~file a motion challenging~~ a confidentiality designation at any time if
 26 there is good cause for doing so, including a challenge to the designation of a deposition transcript
 27 or any portions thereof. ~~Any motion brought pursuant to this provision must be accompanied by a~~

1 ~~competent declaration affirming that the movant has complied with the meet and confer~~
 2 ~~requirements imposed by the preceding paragraph.~~

3 The burden of persuasion in any such challenge proceeding shall be on the Designating
 4 Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose
 5 unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions.
 6 Unless the Designating Party has waived the confidentiality designation by failing to file a motion
 7 to retain confidentiality as described above, all parties shall continue to afford the material in
 8 question the level of protection to which it is entitled under the Producing Party's designation until
 9 the court rules on the challenge.

10 7. ACCESS TO AND USE OF PROTECTED MATERIAL

11 7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or
 12 produced by another Party or by a Non-Party in connection with this case only for prosecuting,
 13 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to
 14 the categories of persons and under the conditions described in this Order. When the litigation has
 15 been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL
 16 DISPOSITION).

17 Protected Material must be stored and maintained by a Receiving Party at a location and in
 18 a secure manner that ensures that access is limited to the persons authorized under this Order.

19 7.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered
 20 by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any
 21 information or item designated "CONFIDENTIAL" only to:

22 (a) the Receiving Party's Outside Counsel of Record in this action, as well as
 23 employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the
 24 information for this litigation and who have signed the "Acknowledgment and Agreement to Be
 25 Bound" that is attached hereto as Exhibit A;

26 (b) the officers, directors, and employees (including House Counsel) of the Receiving
 27 Party to whom disclosure is reasonably necessary for this litigation and who have signed the
 28 "Acknowledgment and Agreement to Be Bound" (Exhibit A);

1 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is
 2 reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement
 3 to Be Bound” (Exhibit A);

4 (d) the court and its personnel;

5 (e) court reporters and their staff, professional jury or trial consultants, mock jurors,
 6 and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who
 7 have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

8 (f) during their depositions, witnesses in the action to whom disclosure is reasonably
 9 necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A),
 10 unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed
 11 deposition testimony or exhibits to depositions that reveal Protected Material must be separately
 12 bound by the court reporter and may not be disclosed to anyone except as permitted under this
 13 Stipulated Protective Order.

14 (g) the author or recipient of a document containing the information or a custodian or
 15 other person who otherwise possessed or knew the information.

16 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER
 17 LITIGATION

18 If a Party is served with a subpoena or a court order issued in other litigation that compels
 19 disclosure of any information or items designated in this action as “CONFIDENTIAL,” that Party
 20 must:

21 (a) promptly notify in writing the Designating Party. Such notification shall include a
 22 copy of the subpoena or court order;

23 (b) promptly notify in writing the party who caused the subpoena or order to issue in
 24 the other litigation that some or all of the material covered by the subpoena or order is subject to
 25 this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

26 (c) cooperate with respect to all reasonable procedures sought to be pursued by the
 27 Designating Party whose Protected Material may be affected.

28 If the Designating Party timely seeks a protective order, the Party served with the subpoena

1 or court order shall not produce any information designated in this action as "CONFIDENTIAL"
 2 before a determination by the court from which the subpoena or order issued, unless the Party has
 3 obtained the Designating Party's permission. The Designating Party shall bear the burden and
 4 expense of seeking protection in that court of its confidential material – and nothing in these
 5 provisions should be construed as authorizing or encouraging a Receiving Party in this action to
 6 disobey a lawful directive from another court.

7 9. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS
 8 LITIGATION

9 (a) The terms of this Order are applicable to information produced by a Non-Party in
 10 this action and designated as "CONFIDENTIAL." Such information produced by Non-Parties in
 11 connection with this litigation is protected by the remedies and relief provided by this Order.
 12 Nothing in these provisions should be construed as prohibiting a Non-Party from seeking
 13 additional protections.

14 (b) In the event that a Party is required, by a valid discovery request, to produce a Non-
 15 Party's confidential information in its possession, and the Party is subject to an agreement with the
 16 Non-Party not to produce the Non-Party's confidential information, then the Party shall:

17 (1) promptly notify in writing the Requesting Party and the Non-Party that some or
 18 all of the information requested is subject to a confidentiality agreement with a Non-Party;

19 (2) promptly provide the Non-Party with a copy of the Stipulated Protective Order
 20 in this litigation, the relevant discovery request(s), and a reasonably specific description of the
 21 information requested; and

22 (3) make the information requested available for inspection by the Non-Party.

23 (c) If the Non-Party fails to object or seek a protective order from this court within 14
 24 days of receiving the notice and accompanying information, the Receiving Party may produce the
 25 Non-Party's confidential information responsive to the discovery request. If the Non-Party timely
 26 seeks a protective order, the Receiving Party shall not produce any information in its possession or
 27 control that is subject to the confidentiality agreement with the Non-Party before a determination
 28 by the court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense

1 of seeking protection in this court of its Protected Material.

2 10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

3 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected
 4 Material to any person or in any circumstance not authorized under this Stipulated Protective
 5 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the
 6 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected
 7 Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the
 8 terms of this Order, and (d) request such person or persons to execute the “Acknowledgment and
 9 Agreement to Be Bound” that is attached hereto as Exhibit A.

10 11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED
 MATERIAL

12 When a Producing Party gives notice to Receiving Parties that certain inadvertently
 13 produced material is subject to a claim of privilege or other protection, the obligations of the
 14 Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision
 15 is not intended to modify whatever procedure may be established in an e-discovery order that
 16 provides for production without prior privilege review. Pursuant to Federal Rule of Evidence
 17 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a
 18 communication or information covered by the attorney-client privilege or work product protection,
 19 the parties may incorporate their agreement in the stipulated protective order submitted to the
 20 court.

21 12. MISCELLANEOUS

22 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to
 23 seek its modification by the court in the future.

24 12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order
 25 no Party waives any right it otherwise would have to object to disclosing or producing any
 26 information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no
 27 Party waives any right to object on any ground to use in evidence of any of the material covered by
 28 this Protective Order.

1 12.3 Filing Protected Material. Without written permission from the Designating Party or
 2 a court order secured after appropriate notice to all interested persons, a Party may not file in the
 3 public record in this action any Protected Material. A Party that seeks to file under seal any
 4 Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed
 5 under seal pursuant to a court order authorizing the sealing of the specific Protected Material at
 6 issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request establishing
 7 that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled
 8 to protection under the law. If a Receiving Party's request to file Protected Material under seal
 9 pursuant to Civil Local Rule 79-5(d) is denied by the court, then the Receiving Party may file the
 10 information in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed
 11 by the court.

12 13. FINAL DISPOSITION

13 Within 60 days after the final disposition of this action, as defined in paragraph 4, each
 14 Receiving Party must return all Protected Material to the Producing Party or destroy such material.
 15 As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations,
 16 summaries, and any other format reproducing or capturing any of the Protected Material. Whether
 17 the Protected Material is returned or destroyed, the Receiving Party must submit a written
 18 certification to the Producing Party (and, if not the same person or entity, to the Designating Party)
 19 by the 60 day deadline that (1) identifies (by category, where appropriate) all the Protected
 20 Material that was returned or destroyed and (2) affirms that the Receiving Party has not retained
 21 any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of
 22 the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival
 23 copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda,
 24 correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant
 25 and expert work product, even if such materials contain Protected Material. Any such archival
 26 copies that contain or constitute Protected Material remain subject to this Protective Order as set
 27 forth in Section 4 (DURATION).

28 14. All discovery and disclosure disputes are subject to the undersigned's Standing Order.

1 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.
2
3

Dated: July 23, 2015

PATANE•GUMBERG, LLP

5 By /s/ Andrea c. Avila for
6 NINA M. PATANE
Attorneys for Plaintiff NICOLAS AQUINO

Dated: July 28, 2015

CHARLES J. McKEE
County Counsel

10 By /s/ Michael R. Philippi
11 MICHAEL R. PHILIPPI, Deputy County Counsel
12 Attorneys for Defendants COUNTY OF
13 MONTEREY SHERIFF'S OFFICE, IVAN
14 RODRIGUEZ and DAVID MURRAY

15 Pursuant to Northern District General Order 45(X)(B), I hereby attest that I have on file
16 approvals for any signatures indicated by a "conformed" signature (/s/) within this efiled
17 document

DATED: July 28, 2015

18 By /s/ Michael R. Philippi
19 MICHAEL R. PHILIPPI, Deputy County Counsel
20 Attorneys for Defendants COUNTY OF
21 MONTEREY SHERIFF'S OFFICE, IVAN
22 RODRIGUEZ and DAVID MURRAY

23 AS MODIFIED BY THE COURT
24 PURSUANT TO STIPULATION, IT IS SO ORDERED.

Dated: August 4, 2015


HON. HOWARD R. LLOYD
United States Magistrate Judge

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____
[print or type full address], declare under penalty of perjury that I have read in its entirety and
understand the Stipulated Protective Order that was issued by the United States District Court for
the Northern District of California on [_____] in the case of *AQUINO v. MONTEREY*
COUNTY SHERIFFS' OFFICE, 14-CV-03387 EJD. I agree to comply with and to be bound by all
the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so
comply could expose me to sanctions and punishment in the nature of contempt. I solemnly
promise that I will not disclose in any manner any information or item that is subject to this
Stipulated Protective Order to any person or entity except in strict compliance with the provisions
of this Order.

13 I further agree to submit to the jurisdiction of the United States District Court for the
14 Northern District of California for the purpose of enforcing the terms of this Stipulated Protective
15 Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of
_____ [print or type full address and telephone number]
as my California agent for service of process in connection with this action or any proceedings
related to enforcement of this Stipulated Protective Order.

21 | Date:

22 City and State where sworn and signed:

23 Printed name:

25 | Signature: